

## The Ramsey Numbers $R(K_{4-e}, K_{6-e})$ and $R(K_{4-e}, K_{7-e})$

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**Abstract.** The graph with vertices in  $GF(16)$ , whose edges connect points having difference equal to a cube, which was known to be extremal for the Ramsey numbers  $R(3,3,3)$  and  $R(K_3, K_{6-e})$ , is shown to be extremal for  $R(K_{4-e}, K_{6-e})$ . The proof is obtained by using computer algorithms to analyze the properties of the family of graphs having no  $K_{4-e}$  and having no  $K_{5-e}$  in the complement. It is also shown that there is a unique graph, up to graph isomorphism, which is extremal for  $R(K_{4-e}, K_{7-e})$ , viz., the strongly regular Schläfli graph on 27 vertices, which has an automorphism group of size 51840. This follows easily from the result that  $R(K_{4-e}, K_{6-e})$  is 17.

### 1. Introduction.

The two color Ramsey number  $R(G, H)$  is the smallest integer  $n$  such that for any graph  $F$  on  $n$  vertices, either  $F$  contains  $G$  or the complement of  $F$  contains  $H$ . This paper considers  $G$  and  $H$  of the form  $K_{i-e}$ , the complete graph on  $n$  vertices minus an edge. The techniques used are similar to those in [2]. A graph  $F$  is called a  $(K_{i-e}, K_{j-e})$ -good graph if there is no  $K_{i-e}$  in  $F$  and no  $K_{j-e}$  in the complement of  $F$ . Appendix A shows all the  $(K_{4-e}, K_{6-e})$ -good graphs computed by the authors, using the program "fillJ4J6". The complete list has not been generated, due to the large number of graphs at some sizes. Appendix B contains descriptions of all the computer algorithms cited in this paper.

The following notation is used throughout the paper.

$G$  = arbitrary  $(K_{4-e}, K_{6-e})$ -good graph on 17 vertices

$H$  = arbitrary  $(K_{4-e}, K_{5-e})$ -good graph

$x$  = any vertex in  $G$

$G_x$  = subgraph of  $G$  induced by all vertices adjacent to  $x$

$H_x$  = subgraph of  $G$  induced by all vertices not  $x$  and not in  $G_x$ .

support set = subset  $S$  of vertices of  $H$  satisfying:

(S1) no triangle in  $H$  has 2 vertices in  $S$ ;

(S2)  $S$  induces in  $H$  a subgraph with maximum degree at most 1;

(S3) no independent 4-set in  $H$  is disjoint from  $S$ .

$OKN$  = binary relation on the family of support sets

defined as those pairs  $S, T$  with the properties:

(O1) no subgraph of  $H$  which is induced by 4 vertices and has only 1 edge is disjoint from  $(S \cup T)$ ;

(O2) no independent 4-set in  $H$  has 3 vertices outside  $(S \cup T)$  and 1 vertex in  $S-T$  or  $T-S$ .

The process of decomposing  $G$  into the triple  $(x, G_x, H_x)$  is called preferring the vertex  $x$  in  $G$ . Note that the vertices in  $H_x$  adjacent to a vertex  $y$  in  $G_x$  form a support set, called the support set rooted at  $y$ . Note further that every  $G_x$  is a  $(K_{3-e}, K_{6-e})$ -good graph and every  $H_x$  is a  $(K_{4-e}, K_{5-e})$ -good graph.

It is clear that the graph on  $GF(16)$  referred to above is a  $(K_{4-e}, K_{6-e})$ -good graph. One of the main results of this paper is that there is no  $(K_{4-e}, K_{6-e})$ -good graph on 17 vertices, establishing 17 as the Ramsey number  $R(K_{4-e}, K_{6-e})$ .

### 2. Properties of $(K_{4-e}, K_{6-e})$ -good graphs.

Many of the results below rely on the properties of support sets. Since  $H$  is  $(K_{4-e}, K_{5-e})$ -good, no support set can have more than 6 vertices. Since  $G_x$  is  $(K_{3-e}, K_{6-e})$ -good, and has maximum degree at most 1,  $G_x$  has at most 8 vertices.

Moreover, if  $G_x$  has more than 5 vertices, at most 1 vertex does not belong to an edge. It is clear that support sets rooted at adjacent vertices of  $G_x$  are disjoint and support sets rooted at non-adjacent vertices of  $G_x$  are *OKN*-related.

An edge in  $H$  is called a support edge if its vertices form a support set. The first proposition characterizes support edges and shows that  $H$  has relatively few edges which can occur as subsets of support sets.

**Proposition 1.** If an edge in  $H$  has both vertices in the same support set, then it is a support edge.

**Proof.** Let  $\{x,y\}$  be an edge in  $H$  with  $x$  and  $y$  in a support set  $S$ . It suffices to show that  $\{x,y\}$  is incident with every independent 4-set  $I$  in  $H$ . Assume neither  $x$  nor  $y$  lies in  $I$ . Since the complement of  $H$  has no  $K_5-e$ , both  $x$  and  $y$  must be adjacent to at least 2 vertices in  $I$ . Since  $\{x,y\}$  is not in a triangle, by (S1), there must be exactly 2 vertices in  $I$  adjacent to  $x$  and the remaining 2 vertices in  $I$  must be adjacent to  $y$ . One of the vertices of  $I$  lies in  $S$ , however, by (S3), causing 2 edges in  $S$  to be incident, which is a contradiction.

The second proposition relates to vertices in  $G$  of degree 4, 5, or 6.

**Proposition 2.**

- (a) If  $H$  has 12 vertices, then  $H$  has no support sets.
- (b) If  $H$  has 11 vertices, then
  - (1)  $H$  has at most 4 support edges;
  - (2)  $H$  has at most 3 support sets which are pairwise *OKN*;
- (c) If  $H$  has 10 vertices, then
  - (1)  $H$  has at most 9 support edges;
  - (2)  $H$  has no pairwise *OKN* collection of 4 support sets  $S, T, U, V$  satisfying:
    - (i)  $S$  and  $T$  have size at least 5;
    - (ii)  $U$  and  $V$  have size at least 4;
    - (iii)  $U$  and  $V$  contain at least 1 edge each.

**Proof.** Four computer programs, described in Appendix A, have been written to do the counting required to establish this result. All 4 programs examine all graphs in an input file consisting of all  $(K_4-e, K_5-e)$ -good graphs, which were found in [3]. The programs are: "countS", which counts all support sets; "countE", which counts all support edges; "hxOKN", which counts all pairwise *OKN* collections of support sets; and "OKN4E5", which counts those pairwise *OKN* collections of support sets satisfying the conditions in (c2).

### 3. Proofs.

**Theorem 1.** If  $G$  exists, then  $G$  has minimum degree at least 5.

**Proof.** The Ramsey number  $R(K_4-e, K_5-e)$  is 13, see [1], so each  $H_x$  has size at most 12. Proposition 2(a) shows that no  $H_x$  has size 12. Thus the maximum size of  $H_x$  is at most 11 and the minimum degree in  $G$  is at least 5.

**Theorem 2.** If  $G$  exists, then  $G$  has minimum degree at least 6.

**Proof.** Assume that some vertex  $x$  has degree 5. Then  $H_x$  has 11 vertices. If  $x$  belongs to fewer than 2 triangles, then  $G_x$  has an independent set of size 4 and  $H_x$  has 4 pairwise *OKN* support sets, which is not allowed by Proposition 2(b2). Therefore each vertex of degree 5 belongs to exactly 2 triangles. The properties of  $G_x$  mentioned above then imply that all vertices of  $G$  belong to at least 2 triangles. Now let  $y$  be a vertex of degree 5. Consider the 5 support sets in  $H_y$  rooted at the vertices adjacent to  $y$ . These vertices must each belong to a triangle not containing  $y$ , so the 5 support sets they generate must each contain one or more edges. This causes  $H_y$  to have at least 5 support edges, contradicting Proposition 2(b1). Thus no vertex in  $G$  has degree 5.

**Theorem 3.** If  $G$  exists, then  $G$  has minimum degree equal to 6.

**Proof.** If the minimum degree is greater than 6 then the only degrees are 7 and 8, since no  $G_x$  has size greater than 8. If every degree is 8, then every vertex belongs to 4 triangles, and in every  $H_x$  the 8 support sets break up into 4 pairs of support sets, with each pair consisting of disjoint support sets containing 3 support edges each. This requires 12 vertices in an  $H_x$  with 8 vertices and cannot happen.

Therefore some vertex  $y$  has degree 7. Its  $H_y$  has 3 pairs of support sets with each pair consisting of disjoint support sets having at least 5 vertices each. This requires 10 vertices in an  $H_y$  with 9 vertices, again impossible. Thus the minimum degree is neither 8 nor 7.

**Theorem 4.** If  $G$  exists, then every vertex of  $G$  belongs to at least 3 triangles.

**Proof.** The only vertices which can belong to fewer than 3 triangles are the vertices of degree 6. Assume  $x$  is such a vertex and  $y, z$  are the 2 vertices in  $G_x$  which do not lie in any triangle with  $x$ . The support sets in  $H_x$  rooted at  $y$  and  $z$  have size at least 5. Choose 2 nonadjacent vertices  $u, v$  in  $G_x$  distinct from  $y$  and  $z$ . The 2 support sets rooted at  $u$  and  $v$  each have size at least 4 and at least 1 edge. The 4 support sets rooted at  $y, z, u, v$  satisfy the conditions of Proposition 2(c2) and hence cannot exist. Therefore all degree 6 vertices belong to 3 triangles, implying the theorem.

**Theorem 5.** The Ramsey number  $R(K_{4-e}, K_{6-e})$  is equal to 17.

**Proof.** It was noted above that there is a  $R(K_{4-e}, K_{6-e})$ -good graph on 16 vertices, establishing 17 as a lower bound for  $R(K_{4-e}, K_{6-e})$ . Therefore it remains to show that no graph  $G$  exists. Assume that  $G$  exists and that  $x$  is a vertex in  $G$  of degree 6. Theorem 4 implies that the 6 support sets in  $H_x$  have at least 2 edges each, requiring 12 support edges. Proposition 2(c1) shows this is impossible, since  $H_x$  has size 10.

**Theorem 6.** The Ramsey number  $R(K_{4-e}, K_{7-e})$  is equal to 28. Furthermore, there is only one  $R(K_{4-e}, K_{7-e})$ -good graph on 27 vertices.

**Proof.** If  $y$  is a vertex in a  $(K_{4-e}, K_{7-e})$ -good graph  $F$  and  $F$  is decomposed into  $(y, G_y, H_y)$  by preferring  $y$ , then  $G_y$  is a  $(K_{3-e}, K_{7-e})$ -good graph and  $H_y$  is a  $(K_{4-e}, K_{6-e})$ -good graph. Therefore  $G_y$  has at most 10 vertices and  $H_y$  has at most 16 vertices, implying  $F$  has at most 27 vertices. Thus 28 is an upper bound for the Ramsey number  $R(K_{4-e}, K_{7-e})$ .

The Schläfli graph, see [4], has 27 vertices, each of degree 10 and each in 5 edge-disjoint triangles, implying there are no  $K_{4-e}$  subgraphs. In the complement of the Schläfli graph each vertex has degree 16 and belongs to 16  $K_6$ 's. The largest intersection between 2  $K_6$ 's is a  $K_3$ , so there are no  $K_{7-e}$  subgraphs in the complement. Thus the Schläfli graph is  $(K_{4-e}, K_{7-e})$ -good, establishing 28 as a lower bound for the Ramsey number  $R(K_{4-e}, K_{7-e})$ .

The computer program "fillJ4J6", modified to construct  $(K_{4-e}, K_{7-e})$ -good graphs, was used to extend all 4 of the  $(K_{4-e}, K_{6-e})$ -good graphs on 16 vertices to all possible  $(K_{4-e}, K_{7-e})$ -good graphs on 27 vertices. Only the Schläfli graph was produced, proving its uniqueness as an extremal graph for the Ramsey number  $R(K_{4-e}, K_{7-e})$ .

#### 4. Acknowledgement.

The authors would like to thank Geoffrey Exoo for pointing out the existence of  $(K_{4-e}, K_{6-e})$ -good graphs on 16 vertices with more than 47 edges. There are 3 graphs of this type with 48, 49, and 50 edges. Their automorphism groups have orders 48, 24, and 48, respectively.

#### REFERENCES.

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2. S.P. Radziszowski, The Ramsey Numbers  $R(K_3, K_8-e)$  and  $R(K_3, K_9-e)$ , JCMCC 8(1990), pp. 137-145
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4. J.J. Seidel, Strongly Regular Graphs (Chapter 7 in Bollobas, Surveys in Combinatorics)

**APPENDICES.** Appendix A contains a listing of the number of non-isomorphic  $(K_4-e, K_6-e)$ -good graphs, broken down by the number of vertices,  $n$ , and the number of edges,  $e$ . These graphs were generated by the program "fillJ4J6", which uses the graph isomorphism program described in [2] and [3]. The letter "x" denotes an uncomputed number.

Appendix B contains outlines of the computer programs used to prove Proposition 2 and the program "fillJ4J6".

APPENDIX A

n=	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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## APPENDIX B

- program:** countS( $H$ )  
**arguments:**  $H = R(K_4-e, K_5-e)$ -good graph  
**purpose:** compute the number of support sets in  $H$   
**code:**
  1. call support( $U, H$ );
  2. return number of nonzero entries in  $U$ .
- program:** countE( $H$ )  
**arguments:**  $H = R(K_4-e, K_5-e)$ -good graph  
**purpose:** compute the number of support edges in  $H$   
**code:**
  1. call support( $U, H$ );
  2. for each edge  $E$  in  $H$ :
    - 2a. if  $E$  belongs to some set in  $U$  increment  $NUM$
  3. return  $NUM$ .
- program:** OKN4E5( $H$ )  
**arguments:**  $H = R(K_4-e, K_5-e)$ -good graph  
**purpose:** compute the maximum size of a family of support sets in  $H$  satisfying
  - (a) 2 sets have size 5
  - (b) all sets have size 4 or 5 and at least 1 edge
  - (c) all sets are pairwise OKN**code:**
  1. call support( $U, H$ );
  2. remove from  $U$  support sets of size less than 4 or greater than 5;
  3. remove from  $U$  support sets without an edge;
  4. for each pair ( $S_1, S_2$ ) from  $U$  with  $S_1$  OKN  $S_2$  and size ( $S_1$ ) = size( $S_2$ ) = 5:
    - 4a. form the array  $C$  of all support sets from  $U$  of size 4 which are OKN with  $S_1$  and  $S_2$
    - 4b. define length( $S_1, S_2$ ) = hxOKN( $C$ )
  5. return the maximum value of length( $S_1, S_2$ )
- program:** support( $U, H$ )  
**arguments:**  $U$  = array to hold all support sets in  $H$   
 $H = R(K_4-e, K_5-e)$ -good graph  
**purpose:** compute the family of support sets in  $H$   
**code:**
  1. build array  $A$  of all adjoining edges in  $H$ ;
  2. build array  $T$  of all triangles in  $H$ ;
  3. build array  $I$  of all independent 4-sets in  $H$ ;
  4. for each set  $S$  of vertices of  $H$ :
    - 4a. if  $S$  contains no  $A[i]$  and  $S$  meets each  $T[i]$  in fewer than 2 vertices and  $S$  meets each  $I[i]$  in at least 1 vertex then adjoin  $S$  to the array  $U$
- program:** hxOKN( $C$ )  
**arguments:**  $C$  = array of support sets  
**purpose:** compute MAXOKN = the maximum number of support sets in  $C$  which are pairwise OKN  
**code:**
  1. define MAX = current value of the maximum number of support sets in  $C$  which are pairwise OKN
  2. build array flag of 0's of same length as  $C$
  3. call cluster(&MAX, flag,  $C, 1$ );
  4. return MAX

program: cluster (*ptr,flag,C,index*)  
 arguments: *ptr* = pointer to integer variable *MAX*  
           *flag* = array of 0's and 1's showing families of support sets  
                   which are pairwise *OKN*  
           *C* = array of support sets  
           *index* = index in array *C*  
 purpose: recursively construct all families of support sets which  
           are pairwise *OKN* and record the maximum  
           size of such families  
 code: 1. if *index* > length(*C*) { update *MAX*;return; }  
       2. if *C*[*index*] is *OKN* with all preceding flagged  
           support sets { *C*[*index*] = 1;  
                   call cluster(*ptr,flag,C,index + 1*); }  
       3. *C*[*index*] = 0;  
       4. call cluster(*ptr,flag,C,index + 1*);

program: fillJ4J6(*min,H*)  
 arguments: *min* = integer  
           *H* = (*K*<sub>4-e</sub>,*K*<sub>5-e</sub>)-good graph  
 purpose: construct all (*K*<sub>4-e</sub>,*K*<sub>6-e</sub>)-good graphs with  
           preferred triple (*y,G<sub>y</sub>,H<sub>y</sub>*) using *G<sub>y</sub>* with size  
           *min, H* as *H<sub>y</sub>*, and minimum degree *min*  
 code: 1. call support(*U,H*)  
       2. for each number of edges in *G<sub>y</sub>* and each assignment  
           of support sets from *U* to the vertices of *G<sub>y</sub>*:  
           2a. test if the support sets for adjacent vertices  
               are disjoint and the support sets for  
               independent vertices are *OKN*  
           2b. test if the resulting graph is (*K*<sub>4-e</sub>,*K*<sub>6-e</sub>)-  
               good with minimum degree *min*