Sample Midterm

- This exam consists of five questions.
- Each question is worth 10 points.
- The exam is worth 40 points.
- Your exam score is the sum of your four highest question scores.
- Calculators, cell phones, PDAs, etc. are not allowed.
- Use only scrap paper provided by me.
- Understanding the questions is part of the exam; you are not allowed to ask questions during the exam.
- As usual, you only have to explain your answer if this is stated in the question.
- You may only leave the room after you are done with the exam.

Note: This midterm was adapted from a midterm that used a different book. It has not been proofread as well as your midterm will be.

- 1. Let $\Sigma = \{0, 1\}$. In this question, every string over Σ is viewed as a binary natural number. Leading 0s are allowed. For example, 00100 is viewed as the number 4. ϵ is viewed as the number 0. Look at the following list of six languages.
 - $L_1 = \{x \in \Sigma^* \mid x \ge 16\}.$
 - $L_2 = \{x \in \Sigma^* \mid x \text{ is a square and } x < 1000\}.$
 - $L_3 = \{x \in \Sigma^* \mid x \text{ is not divisible by } 3\}.$
 - $L_4 = \{x \in \Sigma^* \mid x \text{ is divisible by 666}\}.$
 - $L_5 = \{xy \mid x, y \in \Sigma^* \text{ and } x * y = 12\}.$
 - $L_6 = \{xy \mid x, y \in \Sigma^*, |x| = |y|, \text{ and } x \text{ and } y \text{ represent the same number}\}.$
 - (a) Which of the languages from the list are regular? L_1 , L_2 , L_3 , L_4 , and L_5 .
 - (b) Which of the languages from the list contain ϵ ? L_2 , L_4 , and L_6 .
 - (c) Draw the transition diagram of a DFA that accepts one of the languages from the list or give a regular expression that represents one of the languages from the list. Also state which language you chose.

Different answers are possible. You want to pick a case that is easy and that you sure about. And you should do just one case!

• Regular expression for L_1 :

$$0^*1(0+1)^*(0+1)^4$$

The DFA for L_1 is also easy.

• L_5 is pretty easy as well. Regular expression:

$$0*10*1100 + 0*100*110 + 0*110*100 + 0*1000*11 + 0*1100*10 + 0*11000*1$$

2. Write an algorithm that determines if two DFAs are equivalent. Your algorithm should take as input two DFAs M_1 and M_2 and should output "equivalent" if $L(M_1) = L(M_2)$ and "not equivalent" if they are not.

You can use all constructions from the book and notes. Clearly state what you are using where. There are two approaches.

- (a) Minimize M_1 ; call the result M'_1 . Minimize M_2 ; call the result M'_2 . Then check if M'_1 and M'_2 are the same DFA (apart from the names of the states). (You may want to add a line or so explaining how to do this.) If M'_1 and M'_2 are the same DFA, output "equivalent"; otherwise, output "not equivalent." (We are using the fact that minimum DFAs are unique.)
- (b) Construct a DFA M such that L(M) is the disjoint union of L(M₁) and L(M₂). This can be done by using the Cartesian product construction on M₁ and M₂ and letting F = {(r₁, r₂) | (r₁ ∈ F₁) ⊕ (r₂ ∈ F₂)}. Then check if L(M) = ∅. This can be done by checking if no final state is reachable from the start state. Or by minimizing M and checking if the resulting DFA is the one-state DFA with no accepting states. If L(M) = ∅, output "equivalent"; otherwise, output "not equivalent."

- 3. Let $\Sigma = \{a, b\}$. Let double be the function from Σ^* to Σ^* that doubles each character in a string. For example, double(baaba) = bbaaaabbaa.
 - (a) What is double(aabaa)? aaaabbaaaa
 - (b) What is $double(\epsilon)$?
 - (c) Suppose $x \in \{a, b\}^*$ and the length of x is k. What is the length of double(x)?

For L a language over Σ , define double(L) as follows:

$$double(L) = \{double(x) \mid x \in L\}.$$

- (d) Let A be the language $\{ab, bbb, baba\}$. What is double(A)? $\{aabb, bbbbbb, bbaabbaa\}$
- (e) List all languages B over Σ that have the property that double(B) = B. \emptyset and $\{\epsilon\}$
- (f) For each of the following statements, circle the right answer.
 - i. If L is a regular language over Σ , then double(L) is regular. True
 - ii. If L is a finite language over Σ , then double(L) is finite. True
 - iii. If $L \subseteq \Sigma^*$ is not regular, then double(L) is not regular. True
 - iv. If L_1 and L_2 are regular languages over Σ , then $L_1 \cup L_2$ is regular. True
 - v. If L_1 and L_2 are finite languages over Σ , then $L_1 \cup L_2$ is finite. True
 - vi. If $L_1 \subseteq \Sigma^*$ and $L_2 \subseteq \Sigma^*$ are not regular, then $L_1 \cup L_2$ is not regular. False
- (g) For *one* of the six questions in part (f) where you answered false, give an explicit counter example. Also clearly state which question you chose.

To show that vi is false, take $L_1 = \{a^i b^i \mid i \geq 0\}$ and $L_2 = \overline{L_1}$.

4. Let $L = \{a^i b^k a^\ell \mid \ell > i + k\}$.

- (a) List all strings in L of length 7. $a^7, ba^6, aba^5, bba^5, aaba^4, abba^4, b^3a^4$
- (b) Use the Pumping Lemma for Regular Languages to prove that L is not regular. Suppose for a contradiction that L is regular. Let p be the pumping length given by the pumping lemma.

Let $s = b^p a^{p+1}$. Then $s \in L$ and $|s| \ge p$.

By the pumping lemma, s can be split into three pieces, s = xyz, such that |y| > 0, $|xy| \le p$, and $xy^iz \in L$ for all $i \ge 0$.

In particular, $xy^2z \in L$. (Choose i = 2.)

Since $|xy| \le p$, $uv^2w = b^{n+|y|}a^{p+1}$. Since |y| > 0, $p+1 \not> p+|y|$. It follows that $xy^2z \not\in L$. This is a contradiction.

It follows that the assumption that L is regular is wrong.

So, we have shown that L is not regular.

- 5. This question is about the subset construction. In this question, take $\Sigma = \{a, b, c\}$.
 - (a) If you literally apply the subset construction to an NFA with k states, how many states will the corresponding DFA have? 2^k
 - (b) Give a simple example of a minimal NFA such that the DFA obtained by literally applying the subset construction is *not* minimal. (A minimal NFA is an NFA such that no equivalent NFA has fewer states.) For your answer, draw the transition diagram of the NFA, the transition diagram of the DFA obtained by applying the subset construction, and briefly argue that the DFA is not minimal.
 - Consider the NFA M_1 with one rejecting state and no transitions (you need to draw the transition diagram). Clearly, M_1 is minimal, since an NFA can not have fewer than one state. $L(M_1) = \emptyset$ and there exists a one-state DFA that accepts \emptyset . But the subset construction gives a two-state DFA (you need to draw the transition diagram).
 - (c) Give a simple example of a minimal NFA such that the DFA obtained by literally applying the subset construction is minimal. For your answer, draw the transition diagram of the NFA, the transition diagram of the DFA obtained by applying the subset construction, and briefly argue that the DFA is minimal.
 - Consider the NFA M_2 with one accepting state and no transitions (draw it). Clearly, M_2 is minimal, since an NFA can not have fewer than one state. $L(M_2) = \{\epsilon\}$. The two-state DFA given by the subset construction (draw it) is minimal, since an DFA that accepts ϵ needs at least one accepting state, and an DFA that does not accept Σ^* needs at least one rejecting state.