

## Computability

## The Turing Machine

- Motivating idea
  - Build a theoretical a “human computer”
  - Likened to a human with a paper and pencil that can solve problems in an algorithmic way
  - The theoretical machine provides a means to determine:
    - If an algorithm or procedure exists for a given problem
    - What that algorithm or procedure looks like
    - How long would it take to run this algorithm or procedure.

## Theory Hall of Fame

- Alan Turing
  - 1912 – 1954
  - b. London, England.
  - PhD – Princeton (1938)
  - Research
    - Cambridge and Manchester U.
    - National Physical Lab, UK
  - Creator of the Turing Test



## The Church-Turing Thesis (1936)

- Any algorithmic procedure that can be carried out by a human or group of humans can be carried out by some Turing Machine”
  - Equating algorithm with running on a TM
  - Turing Machine is still a valid computational model for most modern computers.

## Theory Hall of Fame

- Alonso Church
  - 1903 -- 1995
  - b. **Washington D.C.**
  - PhD – Princeton (1927)
  - Mathematics Prof (1927 – 1967)
  - Advisor to both Turing and Kleene



## Undecidability

- Informally, a problem is called unsolvable or undecidable if there no algorithm exists that solves the problem.
- Algorithm
  - Implies a TM that computes a solution for the problem
- Solves
  - Implies will always give an answer

## Decision Problem

- Let's formalize this a bit
  - A decision problem is a problem that has a yes/no answer
  - Example:
    - Is a given string  $x$  a palindrome (Is  $x \in \text{pal}$ ?)
    - Is a given context free language empty?

## Decision Problem

- Running a decision problem on a TM.
  - The problem must first be encoded
  - Example:
    - Is a given string  $x$  a palindrome (Is  $x \in \text{pal}$ ?)
      - $x$  is an instance of the problem
    - Is a given context free language empty?
      - Instance of a problem is a CFG...must be encoded.

## Decision Problem

- Running a decision problem on a TM.
  - Once encoded, the encoded instance is provided as input to a TM.
  - The TM must then
    - Determine if the input is a valid encoding
    - Run, halt,
      - Place 1 on the tape if the answer for the input is yes
      - Place 0 on the tape if the answer for the input is no
  - If such a TM exists for a given decision problem, the problem is decidable or solvable. Otherwise the problem is called undecidable or unsolvable.

## Solvability

- In other words, a problem is solvable if the language of all of its encoded "yes" instances is recursive.
  - There is a TM that recognizes the language.

## Universal Language

- Universal Language ( $L_u$ )
  - Set of all strings  $w_i$  such that  $w_i \in L(M_i)$
  - All strings  $w$  that are accepted by the TM with  $w$  as its encoding.
  - All encodings for TMs that do accept their encoding when input
- We showed that  $L_u$  is not recursive.

## An unsolvable problem

- $L_u$  corresponds to the "yes encodings" of the decision problem:
  - Given a Turing Machine  $M$ , does it accept its own encoding. (Self-accepting)
- Since  $L_u$  is not recursive, this problem is unsolvable.

## Reducing one language to another

- One method of showing whether a given decision problem is unsolvable is to convert the encoding of the problem into another that we know to be either solvable or unsolvable.
- This is called reducing one language to another.

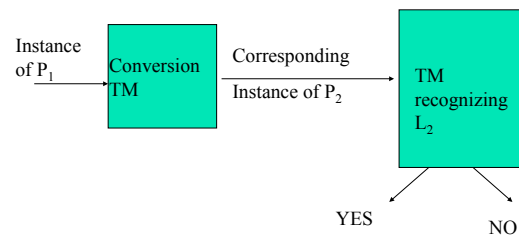
## Reducing one language to another

- Formally,
  - Let  $L_1$  and  $L_2$  be languages over  $\Sigma_1$  and  $\Sigma_2$
  - We say  $L_1$  is reducible to  $L_2$  if
    - There exists a Turing computable function
    - $f: \Sigma_1^* \rightarrow \Sigma_2^*$  such that
    - $x \in L_1$  iff  $f(x) \in L_2$

## Reducing one language to another

- Informally,
  - We can take any encoded instance of one problem
    - Use a TM to compute a corresponding encoded instance of another problem.
    - If this other problem has a TM that recognizes the set of "yes encodings", we can run that TM to solve the first problem.

## Reducing one language to another



## Reducing one language to another

- Key facts:
  - If  $L_1$  is reducible to  $L_2$  then
    - If  $L_2$  is recursive then  $L_1$  is also recursive
    - If  $L_1$  is not recursive then  $L_2$  is not recursive.
  - If  $P_1$  and  $P_2$  are decision problems with  $L_1$  and  $L_2$  the languages of "yes encodings" respectively and if  $L_1$  is reducible to  $L_2$  then
    - If  $P_2$  is solvable then  $P_1$  is also solvable
    - If  $P_1$  is unsolvable then  $P_2$  is also unsolvable

## The halting problem

- Let's consider a more general problem about TMs.
  - Given a TM,  $M$ , and a string  $w$ , is  $w \in T(M)$ ?
  - Given a TM,  $M$  and a string  $w$ 
    - Will  $M$  halt and accept on input  $w$ ?
  - We simply cannot just run the string on the TM since if  $w \notin L(M)$ ,  $M$  might go into an infinite loop.

## The halting problem

- The halting problem is unsolvable
- Proof:
  - We can use an argument similar to that used to show that  $L_q$  is not recursive.
  - Instead, let's use reduction

## To show a problem is unsolvable

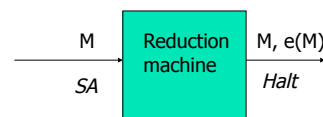
- Find a problem known to be unsolvable
- Reduce this known unsolvable problem to the problem you wish to show is unsolvable.
- Only need one to start the ball rolling
  - Self-accepting fits the bill.

## Halting problem

- Reduce self-accepting to halting
  - Self-accepting
    - Turing Machine M
    - Does this Machine accept it's own encoding
  - Halting
    - Turing Machine M
    - String w
    - Does M halt and accept on input w

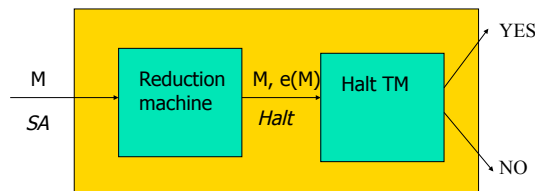
## Halting problem

- Reduction
  - Take an instance of SA and convert it to an instance of Halting
    - Such that a "yes" instance of SA results in a "yes" instance of halt



## Halting problem

- Reduction
  - Assume Halting is solvable



Then SA must be solvable...CONTRADICTION!

## State entry problem

- Given:
  - Turing Machine M
  - A state q
  - A string w
- Problem:
  - Will M enter state q on input w.
- The State Entry Problem is unsolvable.

## State entry problem

- Reduce halting to state entry (SE).
  - Halting
    - Turing Machine  $M$
    - String  $w$
    - Does  $M$  halt and accept on input  $w$
  - State Entry
    - Turing Machine  $M$
    - String  $w$
    - State  $q$
    - Does this Machine enter state  $q$  on input  $w$ .

## State entry problem

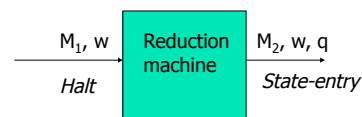
- Reduction
  - Take an instance of Halting ( $M_1, w_1$ ) and convert it to an instance of state-entry ( $M_2, w_2, q$ )
    - Such that a "yes" instance of halt results in a "yes" instance of self-entry
    - From  $M_1$  create  $M_2$  such that  $M_1$  halts iff  $M_2$  enters state  $q$ .

## State entry problem

- Reduction
  - $M_1$  will halt only there is no transition defined (e.g.  $\delta(q_i, a)$ )
  - Take  $M_1$ , create a new state  $q$ .
  - Define new transition in  $M_2$  for each undefined transition in  $M_1$  so
    - $(q_i, a) = (q, a R)$
  - If  $M_1$  halts,  $M_2$  will enter state  $q$

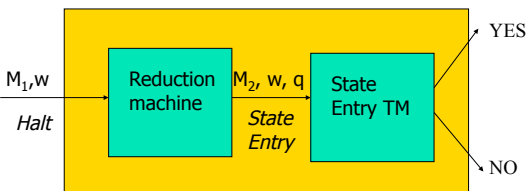
## State entry problem

- Reduction
  - Take an instance of Halting and convert it to an instance of state entry



## State entry problem

- Reduction
  - Assume State Entry is solvable



Then Halting must be solvable...CONTRADICTION!

## Strings of same length problem

- Given:
  - Turing Machine  $M$
- Problem:
  - Will  $M$  enter accept two strings of the same length.
- The Same Length Problem is unsolvable.

## Same length problem

- Reduce halting to same length.
  - Halting
    - Turing Machine M
    - String w
    - Does M halt and accept on input w
  - State Entry
    - Turing Machine M
    - Does this Machine accept 2 strings of the same length.

## Same length problem

- Reduction
  - Take an instance of Halting ( $M_1, w_1$ ) and convert it to an instance of state-entry ( $M_w$ )
    - Such that a "yes" instance of halt results in a "yes" instance of same length
    - From  $M_1$  create  $M_w$  such that  $M_1$  halts on w iff  $M_w$  accepts string of same length

## Same length problem

- Reduction
  - $M_1$  will halt only there is no transition defined (e.g.  $\delta(q_i, a)$ )
  - Define new transition in  $M_w$  for each undefined transition in  $M_1$  so
    - $(q_i, a)$  will force  $M_2$  to accept a and b
  - If  $M_1$  halts on w,  $M_w$  accept "a" and "b" (strings of same length)

## Same length problem

- $(q_i, a)$  will force  $M_w$  to accept "a" and "b"
  - We can certainly create TMs that accept "a" and "b".
  - $M_w$  will do the following:
    - Copy w onto it's tape (after the input)
    - Place the tape head at the start of w
    - Simulate  $M_1$
    - For all halting configurations, transition back to the start of the input (where you can accept both "a" and "b").
    - You will only get back to the start of input of  $M_w$  if  $M_1$  halts on input w.

## Same length problem

- Reduction
  - Take an instance of Halting and convert it to an instance of same length

## Same length problem

- Reduction
  - Assume Same length is solvable

Then Halting must be solvable...CONTRADICTION!

## Decision Problems

- For recursively enumerable languages
  1. Is the language accepted by a TM empty?
  2. Is the language accepted by a TM finite?
  3. Is the language accepted by a TM regular?
  4. Is the language accepted by a TM context free?
  5. Is the language accepted by 1 TM a subset of or equal to the language accepted by another?

## Rice's Theorem

- Halting problem can be reduced to each one of these decision problems.
  - Using same argument as same length.
- Rice's Theorem
  - Every non-trivial property of recursively enumerable languages is unsolvable.
    - Where a non-trivial property is a property satisfied by any non-null subset of the set of recursively enumerable languages.

## Decision Problems

- For recursively enumerable languages
- All unsolvable.
  1. Is the language accepted by a TM empty?
  2. Is the language accepted by a TM finite?
  3. Is the language accepted by a TM regular?
  4. Is the language accepted by a TM context free?
  5. Is the language accepted by 1 TM a subset of or equal to the language accepted by another?

## Questions?

- Let's look at some more unsolvable problems...
- Some that don't have to do with recursively enumerable languages

## Post Correspondence Problem

- Given 2 lists of strings (each list with the same number of elements) can one pick a sequence of corresponding strings from the two lists and form the same string by concatenation. (PCP)
  - Attributed to Emil Post (1946).

## Theory Hall of Fame

- Emil Post
  - 1897 – 1954
  - b. Augustów, Poland.
  - PhD – Columbia (1920)
  - Research
    - Princeton.
    - Columbia
    - Cornell
  - Plagued by mental illness



## Post Correspondence Problem

- Example:

List 1	10	01	0	100	1	0
List 2	101	100	10	0	010	00
	1	2	3	4	5	6

- Choose a sequence of indices : 1,3,4
- List1: 10 0 100    List 2: 101 10 0

## Post Correspondence Problem

- Is there a set of indices such that both lists produce the same string
- Note: Indices can be repeated

List 1	10	01	0	100	1	0
List 2	101	100	10	0	010	00
	1	2	3	4	5	6

- Try 1, 4, 6
- List 1: 101000    List 2 :101000

## Post Correspondence Problem

- There is a Modified version of the Post Correspondence Problem (MPCP)
  - Requires that the index 1 appears as the first index in any solution.
  - This can be shown to be unsolvable by reducing the halting problem to MPCP
    - HALTING  $\leq$  MPCP
  - MPCP can be reduced to PCP
    - HALTING  $\leq$  MPCP  $\leq$  PCP
  - Since halting is unsolvable
    - MPCP is unsolvable
    - PCP is unsolvable.

## Recall: Parse trees

```

      S
     /|\
    S + S
   /|\
  a S * S
   | |
  a a
          
```

```

      S
     /|\
    S * S
   /|\
  S + S a
  /|\
 a + a
          
```

Same string, 2 derivations

## CFG Ambiguity

- A CFG is said to be ambiguous if there is at least 1 string in  $L(G)$  having two or more distinct derivations.
- We said many weeks ago that there is no algorithm to determine if a given CFG is ambiguous.
  - Now we shall prove it

## CFG Ambiguity

- Given a CFG, the problem of whether this grammar is ambiguous is unsolvable.
  - Reduce PCP to Ambiguity.
  - Meaning:
    - Take an instance of PCP and convert it to a CFG  $G$  such that:
      - $G$  is ambiguous iff the instance of PCP has a solution.



## CFG Ambiguity

- Instance of PCP
  - 2 Lists of strings A & B, all strings  $\in \Sigma^*$ 
    - $A = (w_1, w_2, \dots, w_n)$
    - $B = (x_1, x_2, \dots, x_n)$
- Build a CFG, G with
  - Terminal set that includes  $\Sigma$  plus special symbols  $\{ a_1, a_2, \dots, a_n \}$  which represent indices into lists A & B

## CFG Ambiguity

- Instance of PCP
  - 2 Lists of strings A & B, all strings  $\in \Sigma^*$ 
    - $A = (w_1, w_2, \dots, w_n)$
    - $B = (x_1, x_2, \dots, x_n)$
- Productions of G
  - $A \rightarrow w_1 a_1 \mid w_2 a_2 \mid \dots \mid w_n a_n$
  - $A \rightarrow w_1 a_1 \mid w_2 a_2 \mid \dots \mid w_n a_n$
  - $B \rightarrow x_1 b_1 \mid x_2 b_2 \mid \dots \mid x_n b_n$
  - $B \rightarrow x_1 a_1 \mid x_2 a_2 \mid \dots \mid x_n a_n$
  - $S \rightarrow A \mid B$

## CFG Ambiguity

- Must show that G is ambiguous iff PCP instance has a solution.
  - Assume PCP has a solution  $(i_1, i_2, \dots, i_m)$
  - Consider the derivations
    - $S \Rightarrow w_{i_1} a_{i_1} \Rightarrow w_{i_1} w_{i_2} a_{i_2} a_{i_1} \Rightarrow \dots \Rightarrow w_{i_1} w_{i_2} \dots w_{i_m} a_{i_m} \dots a_{i_2} a_{i_1}$
    - $S \Rightarrow x_{i_1} b_{i_1} \Rightarrow x_{i_1} x_{i_2} a_{i_2} a_{i_1} \Rightarrow \dots \Rightarrow x_{i_1} x_{i_2} \dots x_{i_m} a_{i_m} \dots a_{i_2} a_{i_1}$
  - Since  $(i_1, i_2, \dots, i_m)$  is a solution to PCP,  $w_{i_1} w_{i_2} \dots w_{i_m}$  will be the same as  $x_{i_1} x_{i_2} \dots x_{i_m}$ , thus we have 2 separate derivations for the same string.
- G is ambiguous.

## CFG Ambiguity

- Example
 

List A	10	01	0	100	1	0
List B	101	100	10	0	010	00
	1	2	3	4	5	6
- Productions:
  - $A \rightarrow 10a_1 \mid 01a_2 \mid 0a_3 \mid 100a_4 \mid 1a_5 \mid 0a_6$
  - $A \rightarrow 10a_1 \mid 01a_2 \mid 0a_3 \mid 100a_4 \mid 1a_5 \mid 0a_6$
  - $B \rightarrow 101b_1 \mid 100b_2 \mid 10b_3 \mid 0b_4 \mid 010b_5 \mid 00b_6$
  - $B \rightarrow 101a_1 \mid 100a_2 \mid 10a_3 \mid 0a_4 \mid 010a_5 \mid 00a_6$
  - $S \rightarrow A \mid B$

## CFG Ambiguity

Productions:

- $A \rightarrow 10a_1 \mid 01a_2 \mid 0a_3 \mid 100a_4 \mid 1a_5 \mid 0a_6$
- $A \rightarrow 10a_1 \mid 01a_2 \mid 0a_3 \mid 100a_4 \mid 1a_5 \mid 0a_6$
- $B \rightarrow 101b_1 \mid 100b_2 \mid 10b_3 \mid 0b_4 \mid 010b_5 \mid 00b_6$
- $B \rightarrow 101a_1 \mid 100a_2 \mid 10a_3 \mid 0a_4 \mid 010a_5 \mid 00a_6$
- $S \rightarrow A \mid B$

- $(1,4,6)$  is a solution
  - Consider 101000
  - $S \Rightarrow 10a_1 \Rightarrow 10100a_4 a_1 \Rightarrow 101000a_6 a_4 a_1$
  - $S \Rightarrow 101b_1 \Rightarrow 1010b_4 a_1 \Rightarrow 101000a_6 a_4 a_1$

## CFG Ambiguity

- Must show that G is ambiguous iff PCP instance has a solution.
  - Assume G is ambiguous
    - A given string could have only 1 derivation starting from A and 1 starting from B
    - If there are 2 derivations, one must derive from A and the other from B
    - The string with 2 derivations will have the tail:
      - $a_i a_{i+1} \dots a_m$  for some  $m \geq 1$
      - On the A derivation the head will be  $w_{i_1} w_{i_2} \dots w_{i_m}$
      - On the B derivation the head will be  $x_{i_1} x_{i_2} \dots x_{i_m}$
      - $w_{i_1} w_{i_2} \dots w_{i_m} = x_{i_1} x_{i_2} \dots x_{i_m}$
      - $(i_1, i_2, \dots, i_m)$  is a solution to the PCP

## CFG Ambiguity

- Reduction
  - Assume CFG Ambiguity is solvable

Then PCP must be solvable...CONTRADICTION!

## CFG Ambiguity

- Finally,
  - Since PCP is unsolvable, so too is the problem of ambiguity.
  - $SA \leq HALTING \leq MPCP \leq PCP \leq \text{ambiguity}$

## Summary

- Solvable vs Unsolvable problems
- An unsolvable problem
  - Self-accepting
- Reducing one language to another
  - Rice's Theorem
  - Post Correspondence Problem
  - Ambiguity of CFGs.
- Questions?